

KENTUCKY LEGISLATIVE ETHICS COMMISSION
22 Mill Creek Park
Frankfort, Kentucky 40601
(502) 573-2863 FAX (502) 573-2929

**EMPLOYER'S NOTICE OF TERMINATION OF ENGAGEMENT
FOR THE PERIOD FROM DATE OF LAST REPORT TO _____.**

Attach additional sheets when necessary.

☐ Employers who are also registered as legislative agents may mark this box and are not required to file a separate legislative agent updated registration statement.

EMPLOYER

Name of individual, business, or organization _____
Name of person responsible for directing legislative activity _____
Business address (number and street) _____
City, State, Zip Code _____ Telephone ____/_____
Source of funds and financial resources (applies to association, coalition, or public interest entity.) _____
Real party in interest, if different from employer _____
Nature of business _____

LEGISLATIVE AGENTS ENGAGED BY EMPLOYER

Name _____
Mailing Address (number and street) _____
City, State, Zip Code _____ Phone ____/_____

Name _____
Mailing Address (number and street) _____
City, State, Zip Code _____ Phone ____/_____

Name _____
Mailing Address (number and street) _____
City, State, Zip Code _____ Phone ____/_____

☐ **Information above is a change in information previously provided.**

List the specific bills/resolutions/issues lobbied during the reporting period. (Eg. HB 58; if none, so state.)

_____.

Since the last registration statement has employer terminated any legislative agents who were previously listed?

_____ YES _____ NO. If yes, list names of agents terminated and dates of termination. _____
_____.

EMPLOYER'S STATEMENT OF EXPENDITURES (KRS 6.821)

Filers to use the accrual method of accounting. *Attach additional sheets when necessary.*

- A. Expenditures by employer for food and beverages consumed on the premises provided to individual legislator or individual legislator's immediate family, not included in events listed in section B, below. This information must be provided to the named legislators at least ten days prior to filing.**

Names _____
Amount \$ _____ Date _____
Description _____

Category A Total \$ _____.

- B. Expenditures by employer for receptions, meals, or events which qualify under KRS 6.611(2)(b) 8., 11., or 12. Note: trade associations are now included as sponsoring entity in KRS 6.611(2)(b)12.**

Names of individuals, or group of public servants invited _____
Date and location of event _____ Amount \$ _____
Description _____

Names of individuals, or group of public servants invited _____
Date and location of event _____ Amount \$ _____
Description _____

Names of individuals, or group of public servants invited _____
Date and location of event _____ Amount \$ _____
Description _____

Category B Total _____.

- C. Expenses directly associated with employer's lobbying activities, during the reporting period, (other than personal expenses) including reimbursements to a legislative agent. Personal expenses are not deductible as a business expense under the Internal Revenue Code.**

Food, beverages, lodging and transportation \$ _____
Office expenses \$ _____
Professional & technical research & assistance (eg. consulting fees) \$ _____
Educational & promotional items \$ _____
Miscellaneous expenses \$ _____

Category C Total \$ _____.

D. Compensation paid to legislative agents prorated using the accrual accounting method to reflect time legislative agents were engaged in lobbying during this reporting period.

Agent _____
Amount \$ _____

Agent _____
Amount \$ _____

Agent _____
Amount \$ _____

Agent _____
Amount \$ _____

Category D Total \$ _____.

TOTAL OF ALL CATEGORIES \$ _____.

Notice: State law requires legislative agents and employers to maintain receipts or records for all expenditures through the end of the second calendar year after the expenditure is made.

REPORT OF FINANCIAL TRANSACTIONS (KRS 6.611(18), 6.824, 6.827)

If the employer was a party to a financial transaction, as defined below, the details required are provided on a separate statement filed with this updated registration statement. A copy of the attached statement was served on the official involved, in accordance with KRS 6.827(1), on _____ (date).

DEFINITION: A financial transaction is a transaction or activity conducted or undertaken for profit and arising from the joint ownership, ownership, or part ownership in common of any real or personal property or any commercial or business enterprise of whatever form or nature between a legislative agent, his employer, or a member of the immediate family and a member of the General Assembly, the Governor, the secretary of a cabinet or any member of the staff of these officials.

ANY PERSON WHO KNOWINGLY FILES A FALSE STATEMENT IS IN VIOLATION OF STATE LAW AND SUBJECT TO FINES AND OTHER PENALTIES.

I CERTIFY UNDER PENALTY OF LAW THAT THE INFORMATION GIVEN IN THIS UPDATED REGISTRATION IS COMPLETE AND ACCURATE.

DATE _____ SIGNATURE _____
(Employer)